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IIZ.003D2 PTO



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of :
Takashi OHSUMI : Group Art Unit: Not Assigned
Serial No. (NEW) : Examiner: Not Assigned
Filed: August 3, 2001 :
For: SEMICONDUCTOR APPARATUS HAVING AN INSULATING LAYER OF
VARYING HEIGHT THEREIN

INFORMATION DISCLOSURE STATEMENT
(SUBMISSION WITH CONTINUATION-IN-PART OR
RULE 1.53(b) CONTINUATION OR DIVISIONAL APPLICATION)

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an
Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications or other information submitted for consideration by the
Office are listed on PTO-1449 form(s), attached hereto.

II. REFERENCES PREVIOUSLY CITED OR SUBMITTED

Pursuant to 37 C.F.R. § 1.98(d), consideration of information listed on the PTO-
1449 form(s) is requested since any patents, publications or other information which are
listed on the PTO-1449 form(s) but for which copies are not enclosed herewith, were
previously cited by or submitted to the PTO in one of the following applications which
has been relied upon for an earlier filing date under 35 U.S.C. § 120:

<u>U.S. Serial Number</u>	<u>U.S. Filing Date</u>
09/850,624	May 30, 2001
08/959,667	October 29, 1997

III. FEES

This Information Disclosure Statement is being filed concurrent with the filing of a continuation-in-part, continuation or divisional patent application; therefore, no fee is required.

If the Examiner has any questions concerning this IDS or requires a copy of any of the references cited but not provided, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 50-0238.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 50-0238 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

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